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RCE/1600



Request
For
Continued Examination (RCE)
Transmittal

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provides for continued examination of an utility or plant application filed on or after June 8, 1995.
See The American Inventors Protection Act of 1999 (AIPA).

Application Number	09/397,957
Filing Date	September 17, 1999
First Named Inventor	DUONG
Group Art Unit	1655
Examiner Name	F. LU
Attorney Docket Number	A-65686-1/RFT/RMS/RMK

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.
NOTE: 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53 (d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

1. Submission required under 37 C.F.R. § 1.114
a. <input type="checkbox"/> Previously submitted
i. <input type="checkbox"/> Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on _____ (Any unentered amendment(s) referred to above will be entered.)
ii. <input type="checkbox"/> Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____
b. <input checked="" type="checkbox"/> Enclosed
i. <input checked="" type="checkbox"/> Petition for Extension of Time (3 mos.)
ii. <input checked="" type="checkbox"/> Response and Amendment
iii. <input checked="" type="checkbox"/> Sequence Listing with Sequence Listing Disk
2. Miscellaneous
a. <input type="checkbox"/> Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)
3. Fees
The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.
a. <input checked="" type="checkbox"/> The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 60-1300 (order no. <u>A-65686-1/RFT/RMS/RMK</u>)
i. <input type="checkbox"/> RCE fee required under 37 C.F.R. § 1.17(e)
ii. <input type="checkbox"/> Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)
iii. Other :any additional fees charge to Deposit Account No. 06-1300 (Order No. <u>A-65686-1/RFT/RMS/RMK</u>)
b. <input checked="" type="checkbox"/> Check in the amount of \$ <u>1,660.00</u> (\$740 Filing fee; \$920 Three-Month Extension of Time) is enclosed
c. <input type="checkbox"/> Check in the amount of \$ _____ is enclosed
d. <input type="checkbox"/> Payment by credit card (Form PTO-2038 enclosed)

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print Type)	Richard F. Trecartin	Registration No. 31,801 (Attorney/Agent)	
Signature		Date December 20, 2001	

CERTIFICATE OF MAILING and TRANSMISSION VIA FACSIMILE

I Hereby certify that this correspondence, including listed enclosures, is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to : Assistant Commissioner for Patents, Box AF, Washington, D. C. 20231 on December 20, 2001.

Name (Print Type)	Mary McFarland	Date	December 20, 2001
Signature			

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